

Practitioner's Docket No.: 782\_181

PATENT

Notice of Allowance Date: November 17, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Tomohiko SHIBATA, Keiichiro ASAI, and Mitsuhiro TANAKA

Ser. No.: 09/928,523

Art Unit: 1722

Filed: August 13, 2001

Examiner: Matthew J. Song

Confirmation No.: 8032

For: AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A METHOD  
FOR FABRICATING THE SAME

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

"EXPRESS MAIL" mailing label number EV 37308 5053 US.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 39 CFR 1.10 addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 18, 2006.

Janet M. Stevens

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(d)

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after*:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311, or
- (3) an Office Action that closes prosecution,

but before, or simultaneously with, the payment of the issue fee.

STATEMENT AND FEE

2. In accordance with the requirements of 37 C.F.R. 1.97(d):

- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e).
- B. Applicant(s) submit(s) the submission fee set forth in § 1.17(p) (\$180.00).

**METHOD OF PAYMENT OF FEE**

3. ☒ Attached is a check in the amount of \$180.00.  
[ ] Charge Account 50-1446 in the amount of \_\_\_\_\_.  
A duplicate of this paper is attached.

If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,



\_\_\_\_\_  
Kevin C. Brown  
Reg. No. 32,402

January 18, 2006

Date

KCB:jms

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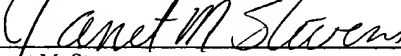
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Janet M. Stevens

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)


Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



Kevin C. Brown  
Reg. No. 32,402

January 18, 2006  
Date

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*Janet M. Stevens*  
Janet M. Stevens

INFORMATION DISCLOSURE STATEMENT

Sir:

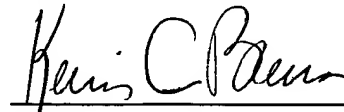
Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Search Report from a corresponding European patent application bearing a mailing date of December 22, 2005, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Kevin C. Brown  
Reg. No. 32,402

January 18, 2006  
Date

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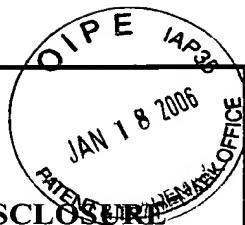
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Form PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	09/928,523
Filing Date	August 13, 2001
First Named Inventor	Tomohiko SHIBATA
Art Unit	1722
Examiner Name	Matthew J. Song
Confirmation No.	8032
Attorney Docket No.	782_181

Sheet	1	of	1
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**U.S. PATENT DOCUMENTS**

Exam. Initial	Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
<i>AF</i>	5,599,732	02/04/1997	Razeghi				

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub Class	Translation	Abstract
<i>AG</i>	10-67584	03/10/1998	JP			JPO Machine Translation	✓
<i>AH</i>	1 160 354 A1	12/05/2001	EP				
<i>AI</i>	7-153706	06/16/1995	JP			JPO Machine Translation	✓
<i>AJ</i>	11-80961	03/26/1999	JP			JPO Machine Translation	✓
<i>AK</i>	1 127 957 A1	08/29/2001	EP				

**OTHER DOCUMENTS**  
 (Including Author, Title, Date, Pertinent Pages etc.)


Examiner:		Date Considered:	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.